

# IN THE U.S. PATENT AND TRADEMARK OFFICE

In re: application of: **CARTLEDGE**

Docket No. 52817/292099

Serial No. **10/651,851**

Filed: **August 29, 2003**

For: **Methods for Controlling the Internal Circumference of an Anatomic Orifice or Lumen**

## RESPONSE TO NOTICE TO FILE MISSING PARTS – FILING DATE GRANTED

Attention: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313

Sir:

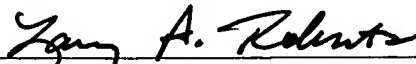
Transmitted herewith are papers in the above-identified application.

- ☒ Formalities Letter – Notice to File Missing Parts of Application – Filing Date Granted.
- ☒ Petition for Extension of Time
- ☒ Declaration and Power of Attorney.
- ☒ The additional fee is calculated as shown below.
- ☒ Applicant claims small entity status.


SMALL ENTITY					OTHER THAN SMALL ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE			\$385	385	\$770	
TOTAL CLAIMS	22 - 20 =	2	x9 =	18	x18 =	
INDEP. CLAIMS	4 - 3 =	1	x43 =	43	x86 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+145 =		+290 =	
<input checked="" type="checkbox"/> LATE-FILING SURCHARGE			+65 =	65	+130 =	
<input type="checkbox"/> FOREIGN LANGUAGE SURCHARGE			+130 =		+130 =	
<input type="checkbox"/> OTHER –						
<b>TOTAL</b>				<b>511</b>	<b>TOTAL</b>	

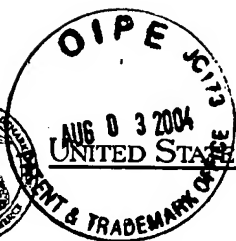
- ☒ Check in the amount of **\$511** is attached.
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16, or credit any overpayment, to Account No. 11-0855. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on **July 29, 2004**.

  
Larry R. Roberts

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By:   
Larry R. Roberts  
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Reg. No. 31,871



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/651,851	08/29/2003	Richard G. Cartledge	52817/291339 (3)

23370  
 JOHN S. PRATT, ESQ  
 KILPATRICK STOCKTON, LLP  
 1100 PEACHTREE STREET  
 SUITE 2800  
 ATLANTA, GA 30309

CONFIRMATION NO. 8124

## FORMALITIES LETTER



\*OC000000011915767\*

Date Mailed: 02/18/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$61 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$511 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

08/04/2004 AAD0F01 00000037 10651851

01 FC:2001

385.00 OP

02 FC:2201

43.00 OP

03 FC:2202

18.00 OP

04 FC:2051

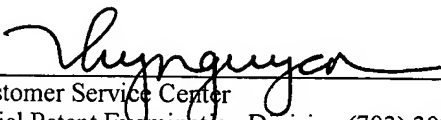
65.00 OP

- Total additional claim fee(s) for this application is \$61
  - \$43 for 1 independent claims over 3.
  - \$18 for 2 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE